

Oct. 22. 2003 1:41PM

WILSON SONSINI

No. 3534 P. 1



23 OCT 2003

Wilson Sonsini Goodrich & Rosati
PROFESSIONAL CORPORATION

To: JCWS

Fax: (703) 746-9195

☒ Use this fax
number only

Firm: U.S. Patent Office

Phone: (703) 305-4243

☐ Notify recipient
before sending

From: Maya Skubatch

Phone: (650) 496-4025

Return

Fax: (650) 493-6811

Date: 10/22/2003

Original: ☐ To follow via mail ☐ To follow via courier ☐ To follow via email ☒ Original will not follow

Fax Contains: 10 pages (including this sheet). If incomplete, call (650) 493-6811.

Message: **REQUEST FOR CORRECTED FILING RECEIPT ATTACHED FOR APPLICATION
NO. 10/613,222.**

Ref: 12636-330.201

Return Original to: Donna Hengst

Location: FH1-2

650 Page Mill Road, Palo Alto, CA 94304-1050 • 650.493.9300 Tel • 650.493.6811 Fax • www.wsgr.com

This fax may contain confidential and privileged material for the sole use of the intended recipient. Any review or distribution by others is strictly prohibited.
If you are not the intended recipient please contact the sender and destroy all copies.

Entire Transmission Copyright © 1999 Wilson Sonsini Goodrich & Rosati. All Rights Reserved.

Received from < 650 493 6811 > at 10/22/03 4:49:38 PM [Eastern Daylight Time]



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/613,222	07/03/2003	1645	0.00	12636-330		41	3

CONFIRMATION NO. 7039

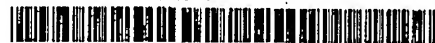
021971

WILSON SONSINI GOODRICH & ROSATI
 650 PAGE MILL ROAD
 PALO ALTO, CA 943041050

RECEIVED

OCT 07 2003

FILING RECEIPT



OC000000010958701

WILSON, SONSINI,
 GOODRICH & ROSATI

Date Mailed: 10/01/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Joseph Rubinfeld, Danville, CA;
 Lucy Chang, San Mateo, CA;
 Jorge F. DiMartino, San Carlos, CA;

Assignment For Published Patent Application

SuperGen, Inc., Dublin, CA;

Domestic Priority data as claimed by applicant

This application is a CON of 09/790,483 02/21/2001 PAT 6,613,753

Foreign Applications

If Required, Foreign Filing License Granted: 10/01/2003

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

~~Restore~~ cancer-suppressing functions to neoplastic cells through DNA hypomethylation

- Restoring
Preliminary Class

424

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

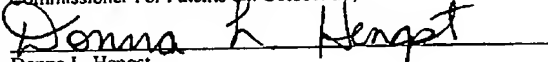
NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

CERTIFICATE of FACSIMILE TRANSMISSION

FACSIMILE TELEPHONE: (703) 746-9195

I hereby certify that this paper or fee is being facsimile transmitted to JCWS,
Commissioner For Patents on: October 22, 2003.


Donna L. Hengst

Attorney Docket No. 12636-330.201

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors:)	Confirmation No. 7039
Joseph Rubinfeld et al.)	Group Art Unit: 1645
Serial Number: 10/613,222)	Examiner: Unassigned
Filing Date: July 2, 2003)	
Title Restoring Cancer-Suppressing Functions)	
To Neoplastic Cells Through DNA)	
Hypomethylation (As Amended))	

REQUEST FOR CORRECTED FILING RECEIPT

Attn: JCWS
Commissioner For Patents
Customer Service Center
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

There are errors with respect to the following:

Error in

Correct data

Title

**Restoring Cancer-Suppressing Functions To
Neoplastic Cells Through DNA Hypomethylation**

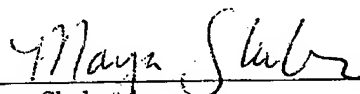
Attached is a copy of the Preliminary Amendment Applicant filed on October 3, 2003 amending the title (see page 2). Also, attached is a marked up version of the filing receipt with the amended title.

There is no fee due under 37 C.F.R. § 1.19(h). Issuance of a corrected Filing Receipt is respectfully requested.

Respectfully submitted,

Dated: October 22, 2003

By:


Maya Skubatch
Reg. No. 52,505

WILSON SONSINI GOODRICH & ROSATI
650 Page Mill Road
Palo Alto, CA 94304-1505
(650) 849-3330
Customer No. 021971

Attorney Docket No. 12636-330

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application)	<u>Patent Application</u>
)	
Inventor(s): Joseph Rubinfeld, et al.)	Art Unit: Unassigned
)	
Application No: 10/613,222)	Examiner: Unassigned
)	
Filed: July 2, 2003)	
)	
Title: Restoring Cancer Suppressing Functions to)	
Neoplastic Cells Through DNA)	
Hypomethylation (As Amended))	

PRELIMINARY AMENDMENT

Mail Stop Non-Fee Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Introductory Comments:

The following is a preliminary amendment made prior to an examination on the merits of the above-identified patent application. Applicants respectfully request that the Examiner amend the application as follows:

Amendments to the Specification:

In the title:

**~~RESTORE~~ RESTORING CANCER SUPPRESSING FUNCTIONS TO NEOPLASTIC
CELLS THROUGH DNA HYPOMETHYLATION**